Docket No.

237565US0

IN RE APPLICATION OF: Christine MEUNIER

SERIAL NO: 10/812,956

FILED:

March 31, 2004

FOR:

COSMETIC COMPOSITON CONTAINI TTY ACID GLYCERIDE, AN ALCOHOL AND A SILICONE

EMULSIFIER

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: Information Disclosure Statement; PTO 1449; Cited References (23)

The Fee has been calculated as shown below:

CLAIMS CLAIMS REMAINING			HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE			CALCULATIONS	
TOTAL	22	MINUS	23	0	х	\$50	=	\$0.00	
INDEPENDENT	1	MINUS	3	0	х	\$200	=	\$0.00	
		☐ MULTIPL	E DEPENDENT	CLAIMS	+	\$360	=	\$0.00	
			TOTAL	OF ABOVE CA	ALCU	JLATIC	NS	\$0.00	
		☐ Reduction	by 50% for filing	by Small Entity	,			\$0.00	
		☐ Recordation	on of Assignment		+	\$40	=	\$0.00	
						ТОТ	AL	\$0.00	

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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Richard I **Treanor**

Registration No. 36,379

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Jeffrey B. McIntyre Registration No. 36,867 Docket No.

237565US0

IN THE UNITED TATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Christine MEUNIER

SERIAL NO:

10/812,956

GAU:

1615

FILED:

March 31, 2004

EXAMINER:

FOR:

COSMETIC COMPOSITON CONTAINING A FATTY ACID GLYCERIDE, AN ALCOHOL AND A SILICONE

EMULSIFIER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Richard/L. Treanor

Registration No. 36,379

Jeffrey B. McIntyre

Registration No. 36,867

Customer Number

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SHEET 1 OF 2

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.	SERIAL NO.					
(Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 237565US0 SERIAL NO. 10/812,956					
			APPLICANT					
LIST OF REFERENCES CITED BY APPLICANT			Christine MEUNIER					
			FILING DATE		GROUP			
			March 31, 2004		1615			
				J.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	2004/0219123	11/4/04	Astruc, et al.				
	AB	2002/0064540	5/30/02	Allec, et al.				
	AC	2002/0054928	5/9/02	Picard-Lesboueyries				
	AD	5,552,446	9/3/96	Candau, et al.				
	AE	6,007,799	12/28/99	Lee, et al.				
	AF	6,294,157	9/25/01	Rubinstenn, et al.				
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		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION		
	AO	JP2003-81757	3/19/03	Japan (English Abstract Provided)		YES	NO X	
	AP	JP2003-81758	3/19/03	Japan (English Abstract Provided)			X	
	AQ		2/22/00	Japan (English Abstract Provided)			X	
	AR	JP7-256086	10/9/95	Japan (Equivalent to US 5,552,446)			X	
	AS	JP10-324616	12/8/98	Japan (Equivalent to EP0875235)	···		X	
	AT	EP 0 875 235	11/4/98	EPO (Equivalent to JP10-324616)	<u> </u>			
	AU	EP 1 216 685	6/26/02	EPO (Equivalent to JP2002-322045)		Х		
	AV	JP2002-518421	6/25/02	Japan (English Abstract Provided)		-	×	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW	English Translation of Japanese Office Action dated August 9, 2005 for Application No. 2004-114619, 6 pp.						
	AX							
	AY							
	AZ				Addi	tional Refer	ences sheet(s) attached	
Examiner					Date Cor	nsidered		
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Form PTO 1449 (Modified)	49 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.	SERIAL NO.				
				237565US0 10/812,956					
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT							
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			FILING DATE		GROUP				
				March 31, 2004		1615			
			,	U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
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		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO	JP2002-138032	5/14/02	Japan (English Translation Attached) (E to CA2351925)	Equivalent	Х			
	AP	CA 2 351 925	12/27/01	Canada		Х			
	AQ	WO 03/011243	2/13/03	WIPO (Equivalent to US 2004/0219123	•		X		
	AR	JP2002-80341	3/19/02	Japan (Equivalent to US 2002/0064540 Abstract Provided)			×		
	AS	JP2001-114632	4/24/01	Japan (Equivalent to US 6,294,157) (Er Abstract Provided)	_		X		
	AT	JP2002-80323	3/19/02	Japan (Equivalent to US 2002/0054928 Abstract Provided)) (English		х		
	AU	JP2004-10518	2/15/04	Japan (English Abstract Provided)			X		
	AV	JP2002-322045	11/8/02	Japan (English Translation Attached) (E to EP1216685)	Equivalent	×			
		OTHER RI	FERENCES (Including Author, Title, Date, Pertinen	t Pages, e	tc.)	•		

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